

# Revisiting Secure Computation using Functional Encryption: Opportunities and Research Directions

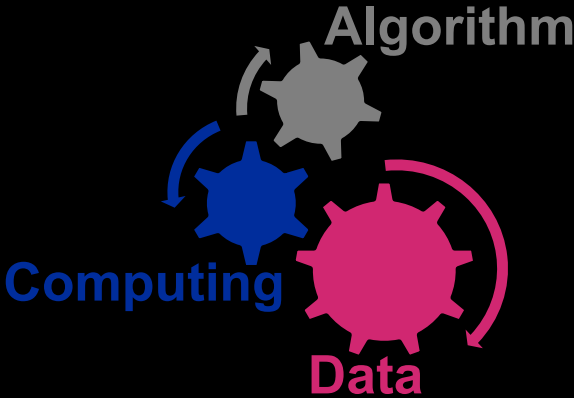
Runhua Xu<sup>+</sup> and James Joshi<sup>\*</sup>

<sup>+</sup> *AI Security and Privacy, IBM Research – Almaden Research Center*

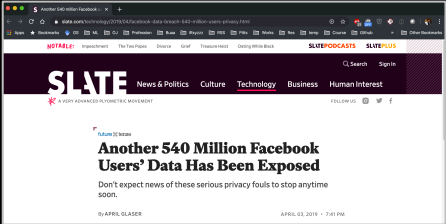
<sup>\*</sup> *University of Pittsburgh & NSF* #

# Background

## Privacy-Preserving Data Processing



**DATA**  
concerns of data leakage  
regulation



China Internet Security Law  
June 1, 2017



GDPR  
May 25, 2018



HIPAA  
August 21, 1996



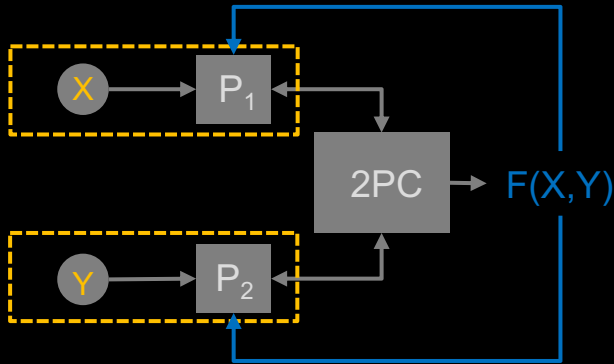
NY SHIELD  
March 21, 2020



CCPA  
January 1, 2020

# Background

## Secure Computation



Secure Multi-party Computation (SMC)  
Multi-Party Computation (MPC)  
Secure Function Evaluation (SFE)

Secure Two-party Computation (2PC)  
Andrew Yao  
1980s

*“Protocols for secure computation”*  
*“How to generate and exchange secrets”*

*APPLICATION*

*secure search*

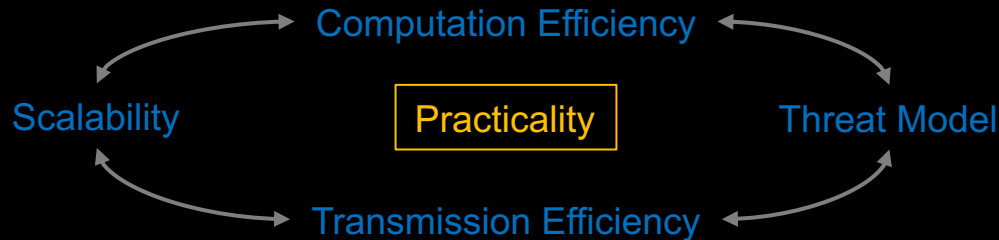
*secure auction*

*privacy-preserving biometric authentication*

*privacy-preserving machine learning*

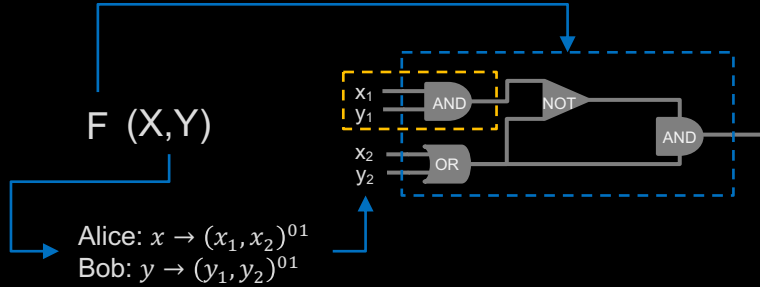
# Secure Computation

## Typical Approaches and Techniques Stack



# Existing Secure Computation

## Generic SMC using Garbled Circuits



### Alice

AND - Truth Table			Keys	Encrypted Truth Table				Garbled Table
$x_1$	$y_1$	AND		$x_1$	$y_1$	AND	Encrypted	
0	0	0	$x_1^0 \rightarrow w_{x_1}^0$	0	0	0	$E_{w_{x_1}^0}(E_{w_{y_1}^0}(w_{g_{AND}}^0))$	$E_{w_{x_1}^0}(E_{w_{y_1}^0}(w_{g_{AND}}^0))$
0	1	0	$x_1^1 \rightarrow w_{x_1}^1$	0	1	0	$E_{w_{x_1}^0}(E_{w_{y_1}^1}(w_{g_{AND}}^0))$	$E_{w_{x_1}^1}(E_{w_{y_1}^1}(w_{g_{AND}}^1))$
1	0	0	$y_1^0 \rightarrow w_{y_1}^0$	1	0	0	$E_{w_{x_1}^1}(E_{w_{y_1}^0}(w_{g_{AND}}^0))$	$E_{w_{x_1}^1}(E_{w_{y_1}^0}(w_{g_{AND}}^0))$
1	1	1	$y_1^1 \rightarrow w_{y_1}^1$	1	1	1	$E_{w_{x_1}^1}(E_{w_{y_1}^1}(w_{g_{AND}}^1))$	$E_{w_{x_1}^0}(E_{w_{y_1}^1}(w_{g_{AND}}^0))$

$g_{AND}^0 \rightarrow w_{g_{AND}}^0$   
 $g_{AND}^1 \rightarrow w_{g_{AND}}^1$

Alice sends  $w_{x_1}^b$  ( $b \in \{0,1\}$  based on value of  $x_1$ ) and garbled table to Bob

### Bob

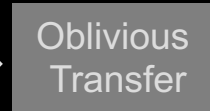
If Bob knows  $w_{y_1}^b$ ,  
 it can decrypt to get AND gate result  $w_{g_{AND}}^{\{0,1\}}$   
 then compute next NOT gate  
 secure evolution issue solved



How to let Bob knows key  $w_{y_1}^b$   
 without leaking  $y_1$  to Alice?

### Alice

$y_1^0 \rightarrow w_{y_1}^0$   
 $y_1^1 \rightarrow w_{y_1}^1$



### Bob

$y_1^b$   
 $w_{y_1}^b$

Suppose that  $y_1^b = 1$   
 Bob can only learn  $w_{y_1}^0$   
 Alice cannot know which  $w_{y_1}^0$  or  $w_{y_1}^1$  is chosen

# Existing Secure Computation

## SMC Derived from Homomorphic Encryption

### General description of homomorphic encryption

$$\begin{aligned}pk, sk &\leftarrow KGen(1^\lambda) \\C_{HE} &\leftarrow \{Enc_{pk}(m_1), \dots, Enc_{pk}(m_n)\} \\C_{HE}^f &\leftarrow Eval_{pk}(f, C_{HE}) \\f(m_1, \dots, m_n) &\leftarrow Dec_{sk}(C_{HE}^f)\end{aligned}$$

### Types

- partially HE: one type of gate, e.g., addition or multiplication
- somewhat HE: two types of gates, but only for subset of circuits
- leveled fully HE: arbitrary circuits of bounded (pre-determined) depth
- fully HE: arbitrary circuits of unbounded depth

### Preprocessing model approach

- Offline Process:
  - trusted dealer: provides raw materials for the computation (somewhat HE)
- Online Process:
  - use only inexpensive primitives to evaluate a function

### Pure fully HE approach

- Directly adopt the fully HE
  - each party encrypt their input
  - all party perform a distributed decryption on  $C_{HE}^f$

# Existing Secure Computation

## Achieving MC in Mixed-Manner

General Idea: evaluate operations according to their best efficient representations

- additions and multiplications
  - has efficient representation as an arithmetic circuit
  - use HE approach
- comparison operations
  - has efficient representation as a Boolean circuit
  - use GC+OT approach

### Typical Proposals

- TASTY framework
  - compiler a function using HE, GC, OT, etc.
- ABY framework
  - arithmetic sharing + boolean sharing + garbled circuits
- ABY<sup>3</sup> framework
  - ABY in the three-party setting for privacy-preserving ML
- Chameleon framework
  - ABY with a semi-honest third-party for preprocessing arithmetic triples
    - previously, OT used
  - Can handle signed fixed-point numbers

# Secure Computation

## using Emerging Functional Encryption

### General description of Functional Encryption

$$\begin{aligned}pk, msk &\leftarrow \text{Setup}(1^\lambda) \\dk_f &\leftarrow \text{KGen}(msk) \\C_{FE} &\leftarrow \{Enc_{pk}(m_1), \dots, Enc_{pk}(m_n)\} \\f(m_1, \dots, m_n) &\leftarrow Dec_{dk_f}(C_{FE}^f)\end{aligned}$$

$$\begin{aligned}pk, sk &\leftarrow \text{KGen}(1^\lambda) \\C_{HE} &\leftarrow \{Enc_{pk}(m_1), \dots, Enc_{pk}(m_n)\} \\C_{HE}^f &\leftarrow Eval_{pk}(f, C_{HE}) \\f(m_1, \dots, m_n) &\leftarrow Dec_{sk}(C_{HE}^f)\end{aligned}$$

### General Functional Encryption

Decryption party is allowed to request a functional private key

$$D_{dk_f}(E_{pk}(m_1, \dots, m_n)) = f(m_1, \dots, m_n) \quad \text{without leaking } m_1, \dots, m_n \text{ to decryption party}$$

### Functional Encryption for specific functionality: FE for Inner-Product

Where the allowed function is inner-product functions:

$$\text{Single Input Functional Encryption for Inner-Product} \quad f_S(\mathbf{x}, \mathbf{y}) = \sum_{i=1}^n x_i y_i \quad \text{s.t. } |\mathbf{x}| = |\mathbf{y}| = n$$

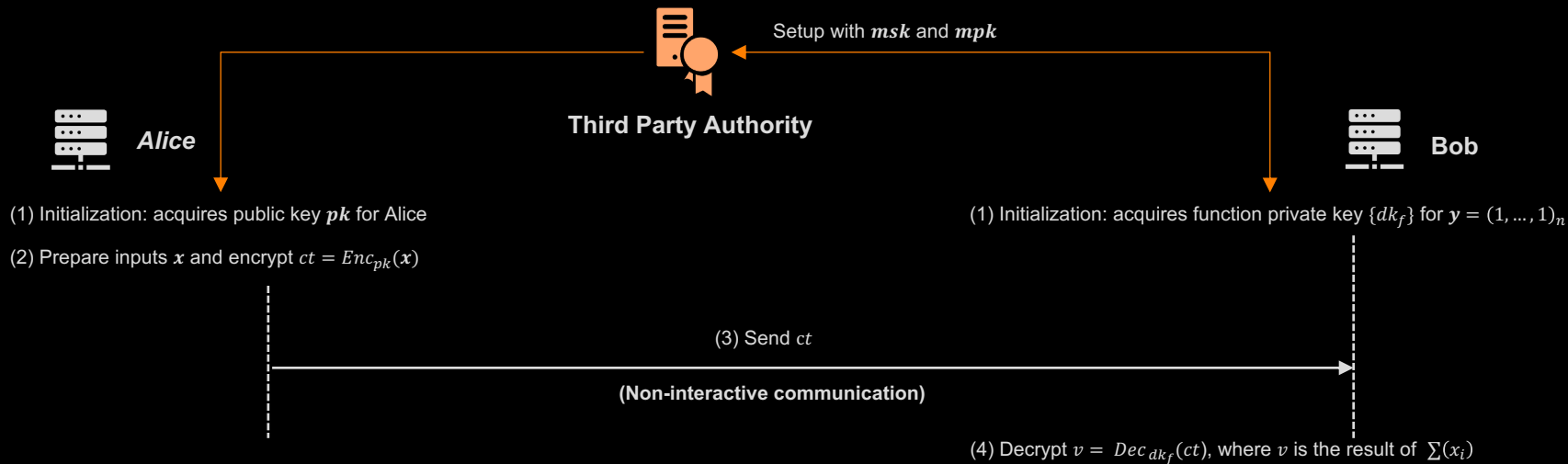
$\mathbf{x}$  is from one single party,  $\mathbf{y}$  is from the decryption party

$$\text{Multiple Input Functional Encryption for Inner-Product} \quad f_M((\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n), \mathbf{y}) = \sum_{i=1}^n \sum_{j=1}^{\eta_i} (x_{ij} y_{\sum_{k=1}^{i-1} \eta_{k+j}}) \quad \text{s.t. } |\mathbf{x}_i| = \eta_i, |\mathbf{y}| = \sum_{i=1}^n \eta_i$$



# Secure Computation using Emerging Functional Encryption

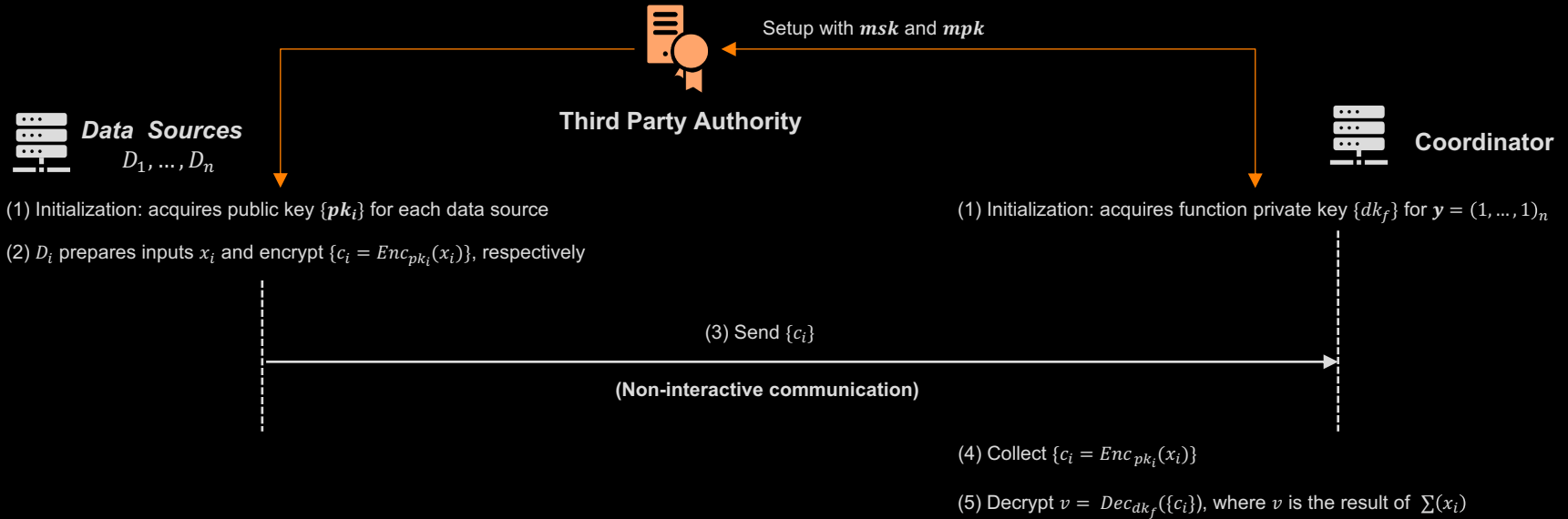
## Secure Two-Party Computation using single-input functional encryption



# Secure Computation

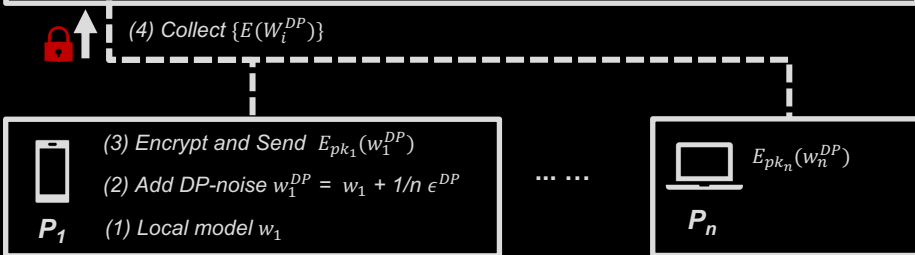
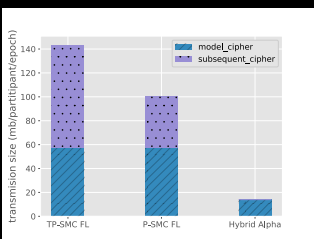
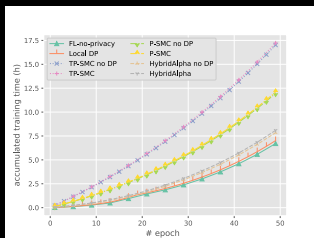
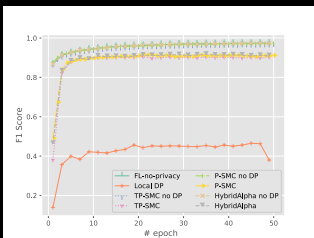
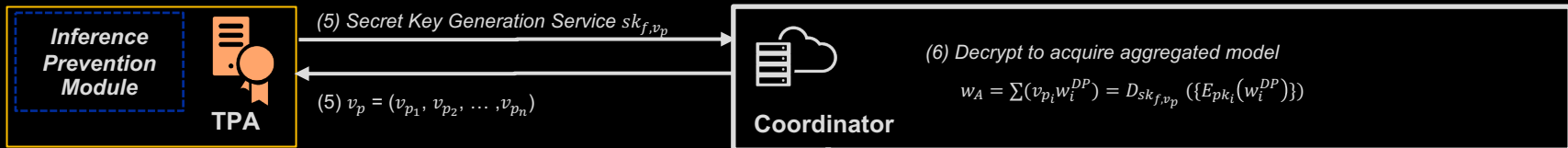
## using Emerging Functional Encryption

### Secure Multi-Party Computation using multi-input functional encryption



# Secure Computation using Emerging Functional Encryption

## Application: FE-based SC in Privacy-Preserving Federated Learning



Runhua Xu, Nathalie Baracaldo, Yi Zhou, Ali Anwar, and Heiko Ludwig. Hybridalpha: An efficient approach for privacy-preserving federated learning. In Proceedings of the 12th ACM Workshop on Artificial Intelligence and Security, pages 13–23, 2019a

# Secure Computation

## FE Approach: Challenges and Directions

### Enriching Functionality

Success in FE-based SC in PPFL and PPDL applications  
(Inner-Product based FE)

Lack of FE-based SC for more functionalities  
Comparison  
Max/Min  
Degree-n Polynomial Computation

### Increasing Efficiency

Primary Objective in SC

FE-based SC is efficient than HE-based SC  
for some functionalities  
a challenge in large-scale secure computation scenario

### Enhancing Security and Privacy Guarantees

Selective indistinguishability under chosen plaintext attack (IND-CPA)  
decisional Diffie-Hellman assumption (DDH)

FE-based SMC  
honest-but-curious coordinator  
Against an adversary using quantum computing

### Dynamic, Decentralized, and Threshold Setting

Existing FE-based SC in PPFL/PPDL  
(a third-party authority - TPA)

How about removing requirement of TPA?  
How about supporting dynamic parties?  
How about supporting threshold setting?

# Secure Computation

## FE Approach: Challenges and Directions

### Privacy-Preserving Applications

FE-based PP Federated Learning  
(horizontal FL)  
FE-based PP Deep Learning  
(CNN model)

How about PPFL in vertical setting?  
How about PPDL in more types of model?  
(RNN, Transformer, Decision Tree, XGboost)

### Realization and Open-Source Library

HE-based SC open-source libraries  
IBM Research – HELib  
Microsoft Research – SEAL

a need to establish open-source practical FE libraries

### Transparent and Accountable Crypto Infrastructure

Existing FE-based SC in PPFL/PPDL  
(a third-party authority – TPA – Critical Infrastructure)

Certificate Authority – Certificate Transparency  
TPA – Authority Transparency  
Accountable FE-based SMC (coordinator)

### Benchmarks

FE-based SC is a nascent research area  
  
needs benchmarks  
to help broader researchers from other communities  
to identify FE-based SC solutions.

**Thanks**

**Q&A**